

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 11610-47-DIV

Anticipated Classification of
this application: Class

Prior Application:

Examiner: G. Fourson, III

Art Unit: 2823

MAIL STOP DIVISIONAL
COMMISSIONER FOR PATENTS
P. O. BOX 1450
ALEXANDRIA, VA 22313-1450

I HEREBY CERTIFY THIS PAPER OR FEE IS BEING
DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS
MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER
37 CFR 1.10 ON THE DATE INDICATED BELOW AND IS
ADDRESSED TO: MAIL STOP DIVISIONAL,
COMMISSIONER FOR PATENTS, P. O. BOX 1450,
ALEXANDRIA, VA 22313-1450.

EXPRESS MAIL NO: EV 32268313745

DATE OF DEPOSIT: July 16, 2003

NAME: Justin Goree

SIGNATURE: Justin Goree

21095 U.S. PTO
10/620552
07/16/03

Sir: This is a request for filing a

☐ Continuation

application under 37 CFR 1.53(b)

☒ Divisional

of pending prior application Serial No. 09/928,974 filed on

August 13, 2001 of Roozrokh et al.

(date)

(inventor currently of record in prior application)

for METHOD FOR MANUFACTURING DIODE SUBASSEMBLIES USED IN RECTIFIER
ASSEMBLIES OF ENGINE DRIVEN GENERATORS

(title of invention)

1. ☒ Enclosed is a copy of the prior application, including the oath or declaration as originally filed and an affidavit or declaration verifying it as a true copy.
2. ☐ Prepare a copy of the prior application.
3. ☒ A Preliminary Amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
4. ☒ The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS CANCELED BY AMENDMENT BELOW:

	(Col. 1)		(Col. 2)		SMALL ENTITY			LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE		RATE	FEE
BASIC FEE						\$ 375	<u>OR</u>		\$ 750
TOTAL CLAIMS	21	-20	1		X 9	\$	<u>OR</u>	X 18	\$ 18
INDEP CLAIMS	2	- 3	-0-		X 42	\$	<u>OR</u>	X 84	\$ -0-
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED						\$	<u>OR</u>		
* If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$		TOTAL	\$ 768

5. ☒ Enclosed is a check for the filing fee in the amount of \$ 768.00 . If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Deposit Account No. **01-0484**.
6. ☒ Cancel in this application original Claims 11-31 of the prior application before calculating the filing fee.
7. ☒ Amend the specification by inserting before the first line the sentence:
--This application is a divisional of Serial No. 09/928,974, filed August 13, 2001, which is based on provisional application Serial No. 60/228,381 filed on August 28, 2000, the disclosures of which are hereby incorporated by reference in their entirety.--
8. ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only be used if signed by person authorized by Rule 138 and before payment of base issue fee.)
- 9a. ☒ Formal drawings are enclosed.
- 9b. ☐ Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119. (country)
- 9c. ☐ The certified copy has been filed in prior application Serial No. _____ filed _____.
10. ☒ The prior application is assigned of record to **TRANSPO ELECTRONICS, INC.**
11. ☒ Citation Under 37 CFR 1.97 and PTO-1449.
12. ☒ The power of attorney in the prior application is to:
Richard K. Warther, Reg. No. 32,180, et al, Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A., 255 South Orange Avenue, Suite 1401, Orlando, Florida 32801
(name, registration number and address)
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ **ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD**
- RICHARD K. WARTHER**
Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A.
P.O. Box 3791
Orlando, FL 32802-3791
13. ☐ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
14. ☒ I hereby verify that the attached papers are a true copy of prior application Serial No. 09/928,974 as originally filed on August 13, 2001 .

The undersigned declare(s) further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

[X] Associate this file with Customer No. 29773



29773

PATENT TRADEMARK OFFICE

July 16, 2003

Date

RICHARD K. WARTHER

Registration No. 32,180

**ALLEN, DYER, DOPPELT, MILBRATH
& GILCHRIST, P.A.**

Post Office Box 3791

Orlando, Florida 32802-3791

(407) 841-2330

Attorney or agent of record

Case No. 11610-47-DIV

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DATE OF DEPOSIT: July 16, 2003

NAME: Justin Goree

SIGNATURE: Justin Goree

In re Application of: **ROOZROKH ET AL.**

Serial No.: **NOT YET ASSIGNED**

Filed: **HEREWITH**

For: **METHOD FOR MANUFACTURING DIODE SUBASSEMBLIES USED
IN RECTIFIER ASSEMBLIES OF ENGINE DRIVEN GENERATORS**


This application is a divisional of utility application
Serial No. 09/928,974 filed August 13, 2001, which is
based on provisional application Serial No.
60/228,381 filed August 28, 2000.

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified
application.

- ☒ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount of \$
240.00.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the amount
of \$130.00 to cover the petition fee.
- ☒ The Commissioner is hereby authorized to charge or credit any discrepancies in fee
amounts to Deposit Account No. 01-0484.

Date: July 16, 2003



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